

### Downtown Façade Improvement Grant Program

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Deadline: November 15. Incomplete Applications will not be considered.

# **Program Background**

The City of Bangor has established a Façade Improvement Program to help strengthen the revitalization of the "Bangor Center Revitalization Area" and the "Neighborhood Revitalization Strategy Area." Please see the attached maps for eligible areas. The Program offers grant funds to be matched by their equal or greater investment of private funds for the purpose of restoring/renovating commercial storefronts and replacing deteriorated or poor-quality commercial signs and awnings.

A Façade Improvement Grant may be used for a variety of façade improvements including, but not limited to, painting, window or door repairs/replacements, signage, awnings, storefront restorations and other activities outlined under Section IV (Eligible Activities).

The City of Bangor will evaluate applications to determine eligibility and, based on a set of criteria, make grant awards to those proposed projects that are determined will have the greatest impact on enhancing the targeted area.

### **Specific Objectives**

- Encourage private investment in the visual improvement of storefronts, signs and awnings;
- Enhance the appearance of the streetscape;
- Reduce vacancies in storefronts and upper floors;
- Expand worker and shopper populations;
- Strengthen or restore the original character of historic buildings;
- Provide a catalyst for others to improve their buildings, signs and awnings.

### Funding and Administration

The Façade Improvement Program is funded through the City of Bangor's Community Development Block Grant Program, through funding from the Department of Housing and Urban Development. Grants will be available for **up to 50% of the total cost** of the improvement project (not to exceed \$15,000) and must be **matched at a ratio of 1:1**. Program administration will be carried out by City of Bangor Community and Economic Development Staff according to established guidelines and procedures. The administration, operation and use of funds of the Program shall conform to all federal, state and local codes.



# Program Guidelines and Eligibility

- 1. Grant funds will be awarded on a competitive basis; Small and large projects are eligible for funding
- 2. Grants are available for **up to 50% of the total cost** of the improvement project and therefore must be **matched at a ratio of 1:1 (cash)**.
- 3. Each applicant may only be awarded one grant per grant period.
- 4. The property owner must be the grant applicant. Business owners leasing or renting space are encouraged to work with their building owner to develop an application.
- 5. A Façade Improvement Grant may only be made to a commercial or mixed-use property located in the areas identified in the attached maps. Please note that properties are eligible for grant funding regardless of occupancy.
- 6. Building projects must be designed by a qualified design professional and signs must be produced by a professional sign maker;
- 7. Applicant must obtain all necessary approvals and/or permits from the City of Bangor. Furthermore, no Façade Improvement Grant shall be made unless and until the proposed improvement work has been reviewed and approved by the Design Review Committee under the Bangor Center Revitalization Area Ordinance.
- 8. The project applicant must owe no outstanding property taxes, fees, judgments, or liens to the City of Bangor and have no outstanding code violations.
- 9. The applicant will be reimbursed for the amount of the grant award only upon completion of the project.
- 10. If the applicant is seeking funding for window and/or door replacement or other weatherization work, an opinion the project by a certified energy auditing individual or firm must be attached to the application. The energy review must detail the need for weatherization work, but does not need to be a comprehensive building energy audit.

### **Eligible Activities**

Eligible uses of Façade Improvement Grant funds are improvements to the exteriors (front, side, rear and top) of existing buildings located in the eligible areas, subject to the review and approval of the Community and Economic Development Division Such improvements include:

- a. The repair, cleaning and/or painting of all visible surfaces of exterior walls.
- b. The repair of deteriorated architectural features where possible or replacement only if necessary.
- c. Handicapped accessibility.
- d. Removal of all extraneous elements on exterior walls such as empty electrical boxes, conduits, pipes, unused sign brackets, etc.
- e. Repair or replacement of deteriorated gutters, rain water leaders, and downspouts.
- f. Removal of existing facades which are inappropriate and incompatible with the existing structure or neighboring properties and replacement of the same with such appropriate and compatible facades as may be approved by the Design Review Committee.
- g. Repair or replacement of doors and windows where appropriate because of their deteriorated condition or to increase the efficient use of energy in the building.
- h. Repair, removal or replacement of exterior hardware and lighting fixtures.
- i. Repair or replacement of soft awnings.
- j. Removal, repair or replacement of exterior signs
- k. Removal of exterior appurtenances or accessory structures which serve no useful purpose or those in a deteriorated condition which are not economically repairable.
- I. Building permits and related fees.

If your project is not listed here, do not assume that it is/is not eligible. Before proceeding with the application, please contact Robyn Stanicki at 992-4280 or at <u>robyn.stanicki@bangormaine.gov</u> to discuss eligibility.

### Design Guidelines

- 1. All planned improvements must comply with Chapter 71 (the "Bangor Center Revitalization Area Ordinance) and Chapter 148 (the "Historic Preservation Ordinance) of the <u>Code of the</u> <u>City of Bangor</u> where required and appropriate.
- 2. Planned improvements must preserve the architectural integrity of the building and adhere, where possible, to the original design of the façade.
- 3. Only appropriate means of cleaning buildings will receive funding. Sandblasting of historic buildings is inappropriate due to its destructive nature.
- 4. Vinyl and aluminum siding are inappropriate materials for covering historic structures and the use of those and similarly inappropriate façade coverings will not be funded.
- 5. Property and business owners undertaking façade improvement projects on historic, or potentially historic properties, should consider the types of materials and design elements that would have been used in the late 1800's and early 1900's.
- 6. Paint colors should be harmonious with neighboring structures and/or indicative of the building's historic color.
- The size, color, shape and position of any proposed sign should complement the architecture and history of the building. Plastic, neon, and back-lit signs are generally discouraged. Signs must also comply with the <u>Code of the City of Bangor</u> Sign Ordinance; Chapter 260, Section 8 "Downtown Development and Waterfront District".
- 8. Awnings should be of canvas or acrylic material (if deemed to be appropriate to the character of the building), designed to respect the building's proportions, and in colors that compliment the color of the façade as well as neighboring awnings and façades.
- 9. Improvements should develop compatibility within the building and with neighboring properties without sacrificing the uniqueness of Downtown Bangor. Consider the proportions, width, height, setbacks of your building in relation to adjoining and surrounding buildings. Maintain the alignment of facades along the sidewalk and the rhythm of windows and storefronts.

### Local Requirements

- 1. The awardee must agree to follow the procedures and conditions of all established and applicable municipal ordinances in the rehabilitation of building exterior, completion of improvements and in future maintenance of the improved property. The awardee also must comply with all federal requirements as contained in the program guidelines.
- 2. The applicant shall secure at least three professional quotes for each specific work task requiring the services of a contractor or sub-contractor. Standardized forms for bid proposals and a list of qualified contractors will be provided by the Department of Economic and Community Development Office. The applicant is solely responsible to invite and accept or reject any bid proposal. The applicant is not required to select from the qualified contractor list provided. However, no person or entity appearing on the current Department of Housing and Urban Development consolidated list of debarred, suspended or ineligible contractors may perform any work or receive any payment in conjunction with a building façade grant.
- 3. No member of the Bangor City Council or other public official who exercises any functions or responsibilities with respect to the Community Development Program shall have any interest, direct or indirect in any contract or subcontract, or the proceeds thereof, for work performed in connection with a building façade grant.
- If the low bid is not accepted for a particular work component, the applicant must pay the difference between the high and low bid and provide justification as to why a higher bidder was selected.
- 5. Any contractor chosen must provide all necessary expertise, equipment, materials and insurance to satisfactorily complete the respective task.
- 6. Bid proposals may be secured from and awarded to a member of the borrower's family if the bidder (i.e. relative):
  - a) is a bona fide and skilled tradesman;
  - b) Is not living in the same household as the borrower;
  - c) has no direct or indirect financial interest in the property to be rehabilitated; and
  - d) the relationship is disclosed in writing by the applicant at the time of bid proposal.

The applicant may assume any or all contractor responsibilities if she/he can provide all necessary expertise, equipment, and materials to complete the respective task. The applicant, however, may not receive any payment for his/her personal expertise and/or labor.

- 7. No member of the borrower's extended family will be reimbursed with Façade Improvement Grant funds for unskilled labor provided. In all cases, the borrower is responsible to satisfy the program's procedures to obtain grant disbursement.
- 8. Applicant must agree to permit reasonable inspection, during normal business hours, by representatives of the City, to the property, exterior rehabilitation/improvement work, and all

contract agreements, materials, equipment, payrolls, and conditions of employment pertaining to the work.

- 9. At the time of the application submission, the applicant must provide documentation of matching funds.
- 10. Disbursement of Façade Improvement Grant funds is contingent upon the completion of approved exterior rehabilitation/improvements according to applicable municipal codes and ordinances as verified by the inspection of the City of Bangor. No money will be disbursed to reimburse the applicant for exterior rehabilitation/improvements made to the property which were not approved by the Design Review Committee before they were commenced.
- 11. Any Façade Improvement funds not expended upon completion of the original and approved exterior rehabilitation/improvement work will be forfeited.

### Federal Requirements

The awardee must agree to abide by all terms and conditions of Federal Regulations governing the use of CDBG funds, including but not limited to:

- 1. Applicant must abide by Federal Labor Standards established minimum wage rates (Davis-Bacon Act as supplemented by Department of Labor regulations) for all construction contracts awarded in excess of \$2,000.
- 2. Any grants made by the City of Bangor for façade rehabilitation shall be made subject to the provisions for the elimination of lead-based paint hazards.
- 3. The awardee must comply with the Provisions of Training, Employment and Business Opportunities, "Section 3". Section 3 of the Housing and Urban Development Act of 1968 [12 U.S.C. 1701u and 24 CFR Part 135] is HUD's legislative directive for providing preference to lowand very low-income residents of the local community (regardless of race or gender), and the businesses that substantially employ these persons, for new employment, training, and contracting opportunities resulting from HUD-funded projects.
- 4. Façade grant recipients who own historic buildings must have their scope of work be reviewed and approved by the Maine Historic Preservation Commission (MHPC) pursuant to Section 106 of the National Historic Preservation Act as well as the Historic Planning Commission if required by City Code.
- 5. If the property to be improved is located in a designated flood hazard area, the applicant is required to carry flood plain insurance and abide by the regulations of the Flood Disaster Protection Act of 1973.

- 6. All properties receiving façade funding must have an environmental review conducted to ensure that the proposed project does not negatively impact the surrounding environment and that the property site itself is safe for development. Not every project is subject to a full environmental review (i.e., every project's environmental impact must be examined, but the extent of this examination varies), but every project must comply with the National Environmental Protection Act (NEPA), and other related Federal and state environmental laws. City staff will coordinate the environmental reviews.
- 7. Civil Rights Act of 1964; Applicant must not discriminate upon the basis of race, color, creed, or national origin in the sale, lease, rental or occupancy of an improved property.
- 8. No member of, or Delegate to, the Congress of the United States, no resident Commissioner, shall be admitted to any share or part of this Agreement or to any benefit to arise from the same.
- 9. No member, officer or employee of the City's Department of Economic and Community Development or its agents or assignees, no municipal officers of the City, and no other member of any board or commission, elected or appointed official of Bangor or employee of the City of Bangor who exercises any decision making functions or responsibilities respecting the Community Development Program shall have any direct or indirect pecuniary interest as that term is defined by 30 M.R.S.A., Section 2250 et seq. in any contract or subcontract, or the proceeds thereof for work to be performed in connection with the program assisted under this agreement.
- 10. An applicant cannot pay any bonus, commission, or fee for the purpose of obtaining the City of Bangor's approval of the grant application, or any other approval of concurrence required by the City of Bangor or its designee to complete the rehabilitation work, financed in whole or in part with the rehabilitation grant.

### **Application Process and Timeline**

- 1. To apply for a Façade Improvement Grant, fill out the attached form and return it to the City of Bangor Office of Community & Economic Development by **November 15**. *Incomplete Applications will not be considered*.
- 2. The application review committee will review and score applications for compliance; awards are expected to be made on or before December 1<sup>st</sup>.
- 3. Successful applicants may begin improvements only after receiving official grant award notification, HUD Environmental Clearance and Historic Preservation approval. *No improvements begun prior to approvals will be eligible for reimbursement or can be counted as project match.*

- 4. The amount of grant fund reimbursement shall be up to 50% of the estimated total project cost, not to exceed the award amount. The City will only pay its portion of the project costs once the building owner has paid its entire portion of the project costs.
- 5. Upon completion of a Façade Improvement Grant project, or at the time when a draw-down is requested, the business/property owner shall submit paid bills/invoices for the work to The City of Bangor. The City will then perform an inspection to determine that the work was completed in accordance with the original grant application and cost estimates. Each project is allowed a maximum of two draw-downs.
- 6. Upon a satisfactory inspection the reimbursement will be approved and processed within 30 days.

# Selection Criteria

- Applicants <u>MUST</u> submit the attached Façade Grant Application Form and required attachments. *Incomplete applications will not be scored;* Applicants <u>MUST</u> agree to comply with the Required Design Guidelines in Section V. *Proposed improvements that do not comply with these guidelines are not eligible for grant funding.*
- Each Façade Improvement Grant application will be scored according to the following criteria:
  Project compliance with eligible activities, Codes and Historic Preservation (30 points)

-Potential impact on the attractiveness of the building/business (20 points)

-Priority of eligible activities (20 points)

-Potential impact on the attractiveness of the streetscape as a whole (15 points)

-Level of personal investment in project (10 points)

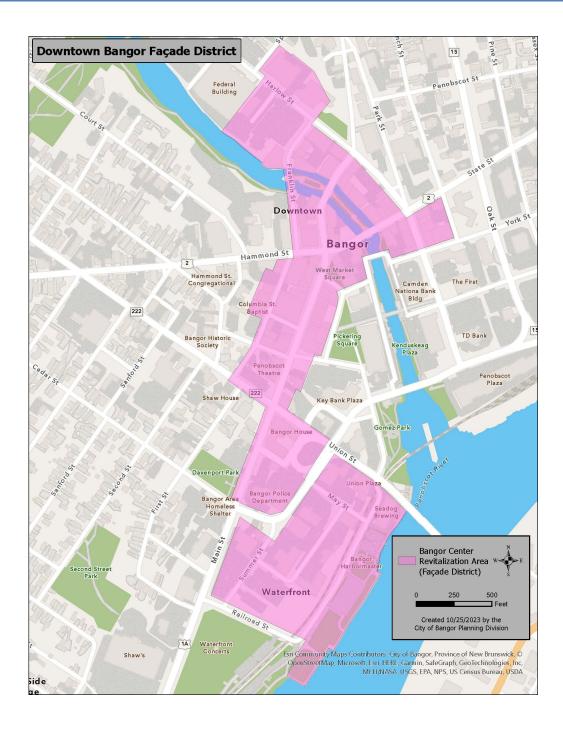
-Long term maintenance plan (5 points)

The City of Bangor anticipates that there will be more demand than available funds. Funding will be awarded to applications receiving the overall highest scores until the grant funds are exhausted. *The City of Bangor reserves the right to deny funding to any applicant who does not comply with the required or suggested design guidelines. Should there be a lack of qualified applications, all remaining grant funds may not be awarded.* 

# Amendment

Program guidelines and eligibility may be amended upon final approval of the Bangor City Council. All amendments to program guidelines and eligibility shall be pursuant to all state and federal regulations, which may apply to activities covered by this program.

# **Eligible Areas**



# Timeline

Date	Action
November 1, 2023	Applications Available
(No workshop scheduled) 8:30am City Hall- Council Chambers 3 <sup>rd</sup> Floor	Optional Application Workshop
November 15 <sup>th</sup> @ 3pm	Application Deadline
December 3 <sup>rd</sup> , 2023	Award Notifications Expected
December 30 <sup>th</sup> , 2023	Projects enter pre-approval phase (Finalized design, CDBG Environmental Clearance, Historic Preservation approval, Contract and Match Confirmed)
End of June, 2024	Projects Must Be Completed

### Anticipated 2024 Competition Dates:

Date	Action
February 12, 2024	Applications Available
April 1 <sup>st</sup> , 2024	Optional Application Workshop
8:30am City Hall- Council Chambers 3 <sup>rd</sup> Floor	
April 29 <sup>th</sup> @ 3pm	Application Deadline
May 3 <sup>rd</sup> , 2024	Award Notifications Expected
May 30 <sup>th</sup> , 2024	Projects enter pre-approval phase (Finalized
	design, CDBG Environmental Clearance,
	Historic Preservation approval, Contract and
	Match Confirmed)
End of November, 2024	Projects Must Be Completed

# Application & Attachment Check List

- □ Complete Application Form
- Sketches or photographs to show where proposed activities will take place and proposed design features
- □ Photographs of existing conditions of project, including abutting building(s)
- □ List of proposed materials and processes to be used
- □ Written professional quotes for proposed project (minimum of 3). *Identify preferred contractor.*
- Documentation of source of private funding match. If source is other than applicant's readily available funds, provide a letter of commitment or other documentation indicating availability and commitment of funds.
- □ Certificate Of Existence from the Maine Secretary of State (if applicable).

# **Application Form**

### Applicant Information (Property Owner):

1.	Name of Applicant (e.g., Joe Smith):
2.	Property Address:
3.	Year Property was Built:
	Mailing Address (if different from above):
5.	Business Name (s) <i>(if applicable)</i> :
	Daytime Phone: E-mail:
7.	Have you received prior grant funding from the City of Bangor? Yes No
8.	If yes, please list date(s) and purpose of funding?
9.	DUNS Number:

A DUNS number is a free 9 digit number required of all recipients of federal funding. If you do not have a DUNS number, you can obtain one by going to: <u>http://fedgov.dnb.com/webform</u>

#### **Project Information:**

#### 10. Proposed Project (Select one):

Storefront Improvement Signage Awning Other (explain):

**11. Detailed description of the Activity.r**For example: (1) Painting – Paint entire street façade with 3 colors, a base wall color, a trim color and an accent color. (2) Sign – Remove existing internally illuminated sign and replace with a new projecting externally illuminated sign. (3) Install a new awning at main entry.

#### **12.** Describe the long-term maintenance plan to protect the façade improvements.

### Estimated Cost\*of Project:

<b>Cost Category</b> *Fields should align with written quote from your preferred contractor.	D Grant	Cash Match	Cost Category Total
Demolition/Removal			
Architectural			
Engineering			
Planning			
Construction			
Materials			
Equipment			
Inspection			
Other (List)			
1.			
2.			
3.			
TOTAL COSTS			

For each <u>applicable</u> cost (cash) in the Cost Category column, list the dollar amount for all <u>applicable</u> funding sources. List the total dollar amount for each cost category in the Cost Category Total column.

13. Total Applican	t Match		
14. Total Grant Ar	nount Requested:		
15. Preferred Con	tractor Information:		
Full Name/Bus	iness Name		
Mailing Addres	ss:		
Phone:			

### **Applicant's Signature (Property Owner)**

I have read and understand the Downtown Façade Improvement Grant guidelines. I understand that I am agreeing to meet program guidelines as written. I understand that this is a matching grant program, and that money is granted on a reimbursement basis, following completion of work. I also understand that improvements not formally approved by the City of Bangor will not be funded.

Applicant's Signature\_\_\_\_\_ Date \_\_\_\_\_

### Please return your completed application along with all documentation by 11/15/2023

City of Bangor, Department of Economic & Community Development Attn: Robyn Stanicki City Hall 73 Harlow Street Bangor, ME 04401 (207) 992-4280

## **CONTRACTOR LIST**

The contractors below have previously worked on Community Development projects with the City of Bangor. You are <u>not</u> required to select one of the contractors below; this list is provided as a resource. However, you are required to select a contractor with liability/property damage insurance and Worker's Compensation Insurance. Contractors selected must provide documentation of insurance and are subject to reference checks by the City of Bangor prior to commencement of work.

All Phase Quality Construction 194 Warren Street Bangor, ME 04401 (207) 947-2563

Starbird Contracting 27 Westbrook Terrace Hampden, ME 04444 starbirdcontracting@roadrunner.com

Cole's Construction PO Box 206 Old Town, ME 04468 (207) 217-1416

R & K Construction 1105 Ohio Street Bangor, ME 04401 (207) 947-5517 Dunn's Home Improvement 83 Dirigo Drive Bangor, ME 04401 <u>stevedunn@inbox.com</u>

House Revivers 73 Pine Street, #1 Bangor, ME 04401 (207) 947-3863

J & T Carpentry 51 Westland Street Bangor, ME 04401 (207) 852-1745

If you know of contractors that would like to be added to this list, please contact Jeff Wallace at jeff.wallace@bangormaine.gov

# Example Bid Documents

### Bangor Façade Improvement Grant Program

The following pages provide forms that must be used to secure the three required bids for your project. These must be submitted as a part of your application.

#### **REQUEST FOR BID FORM**

#### Bangor Façade Improvement Grant Program

You have been selected to receive this invitation to bid on rehabilitation work proposed at following location which is applying for a Façade Improvement Grant from the City of Bangor.

Owner:		Phone
Property Address:		· · · · · · · · · · · · · · · · · · ·
Contractor Name:		
Address:		
Type of Work:		Bid Due Date/Time:
Specifications for the wo	rk to be done on the property	•

Grant applicants are required to secure 3 bids on project work. Grant applications are due [deadline] and grant awards are expected in April.

The successful bidder will be notified by the property owner; however work will not begin until after a notice to proceed has been issued by the City of Bangor.

### **Contractor's Bid Proposal**

#### Bangor Façade Improvement Grant Program

Owner:	
Property Address:	
Contractor Name:	
Address:	
Type of Work:	Bid Due Date/Time:

The undersigned proposes to furnish all labor and material(s) required to complete the work outlined in the specifications for the above referenced project for the sum of dollars (\$).

Furthermore, I certify that:

1. All material will be free of defects and covered by manufacturer's warrantees where applicable.

2. All work accomplished by the contractor and/or his representative(s) will be warranted and completed to standard trade practices.

3. Deviation from specifications involving changes in cost will be executed only upon written agreement by the owner, contractor, and Community Development Program personnel. This will be covered by a change order to the contract and project specifications.

4. This bid proposal is good for 90 days.

5. Contractor has liability/property damage insurance and Worker's Compensation Insurance and will provide documentation prior to the commencement of work.

- 7. If awarded the contract I understand work will not begin until after a notice to proceed has been issued by the City of Bangor.
- 8. No member, officer or employee of the City's Department of Economic and Community Development or its agents or assignees, no municipal officers of the City, and no other member of any board or commission, elected or appointed official of Bangor or employee of the City of Bangor who exercises any decision making functions or responsibilities respecting the Community Development Program have or will have any direct or indirect pecuniary interest as that term is defined by 30 M.R.S.A., Section 2250 et seq. in any contract or subcontract, or the proceeds thereof for work to be performed in connection with the program assisted under this agreement.

Signature

Date